

# Use of AI in Medical Devices and Challenges in Market Authorization of Machine Learning-enabled Medical Devices (MLMD)

PS 3.1 "*Geopolitical Landscape*"



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# Examples of AI ML-enabled MDs approved in Japan

SaMD + Recording medium  
= Programmed Medical Device

Software As Medical  
Device (SaMD)

Nodoca  
disease characteristic finding  
detection support software for  
endoscope  
(influenza virus infection)

Aillis, Inc.



# Responsibility of Medical Practitioner to use AI

## Physician has responsibility for the final decision

医政医発 1219 第 1 号  
平成 30 年 12 月 19 日

各都道府県衛生主管部(局)長 殿

厚生労働省医政局医事課長  
( 公 印 省 略 )

人工知能 (AI) を用いた診断、治療等の支援を行うプログラムの利用と  
医師法第 17 条の規定との関係について

近年、機械学習の技術の進歩等により、診療を行うに当たって人工知能 (AI) を用いた診断・治療支援を行うプログラムが用いられる機会が増加しており、今後、その果たす役割はますます大きくなるものと予想されている。

このような中、平成 29 年度厚生労働行政推進調査事業費補助金により、「AI 等の ICT を用いた診療支援に関する研究」(研究代表者：横山和明東京大学医科学研究所附属病院血液腫瘍内科助教)が行われ、本研究の報告書が取りまとめられたところである(概要は別添参照)。

- In Japan, even when AI is used to provide support for diagnosis and medical treatment, - Physician has responsibility for the final decision.
- This medical diagnosis/treatment is regarded as a medical practice under Article 17 of the Medical Practitioners Act (Act No. 201 of 1948).

# Market Authorization Review of Medical Devices using AI

Points to consider for the pre-market review for AI is basically the same as that of other medical devices.

## Challenges in premarket review for MLMD

Plasticity

Unpredictability

Plasticity

Performance can change in the post market phase

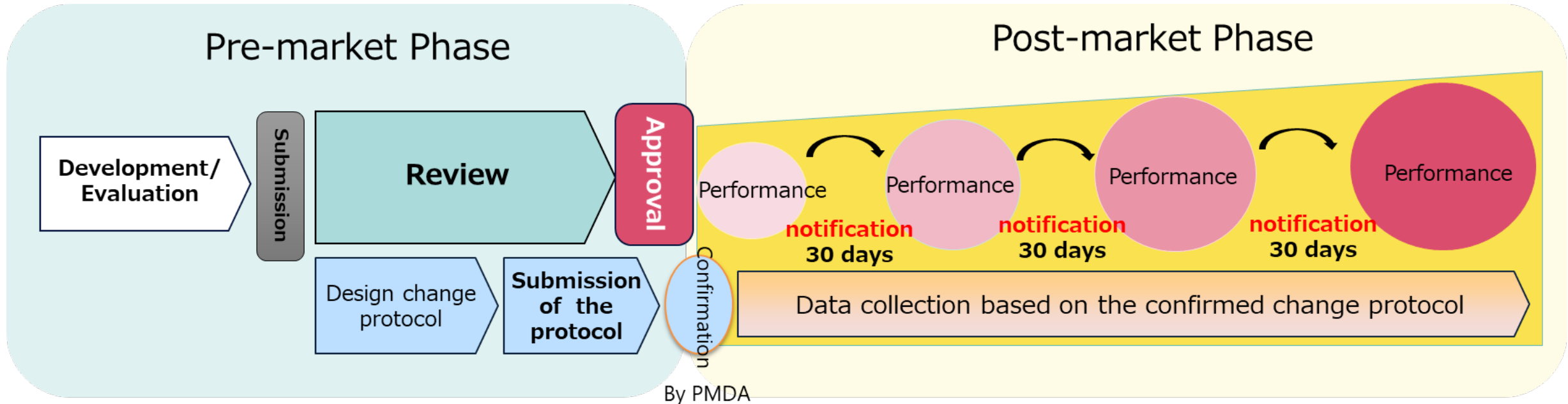
Unpredictability

- Bias of data could affect the performance of the software
- Independence and completeness of data is critical

# Plasticity

## Post-Approval Change Management Protocol (PACMP/IDATEN) for Medical Devices

PACMP is introduced for medical devices to enable continuous and timely improvements through product lifecycle.



Published in 2019, in force in 2020

# International Regulatory Harmonization

## IMDRF (International Medical Device Regulators Forum)

### [OUTCOME Documents]

#### 1. Machine Learning-enabled Medical Devices: Key Terms and Definitions

*finalized in 9 May 2022*

#### 2. Good machine learning practice for medical device development – Guiding Principles

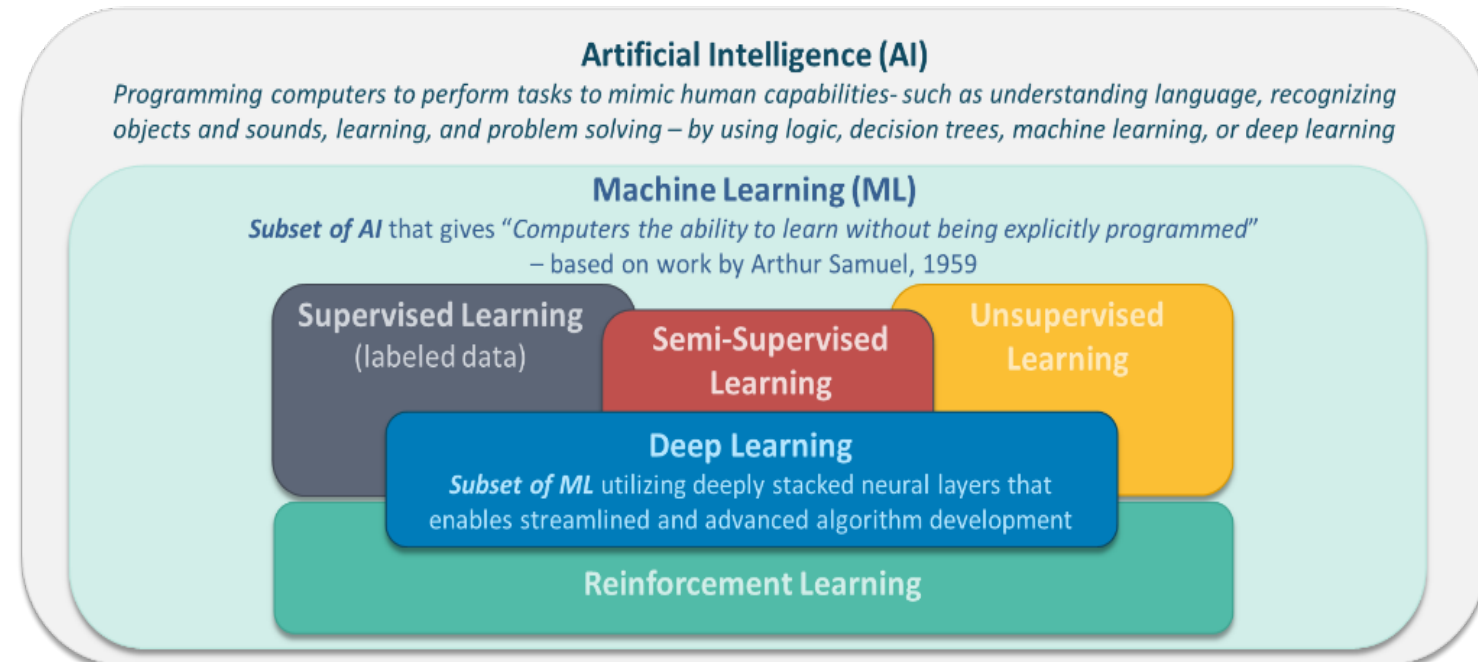
*finished public consultation*

#### 3. Technical Framework for AI Lifecycle Management

*Start discussion*

### MLMD (Machine Learning-enabled Medical Device)

A medical device that uses machine learning, in part or in whole, to achieve its intended medical purpose.



※ The descriptions within the diagram are not definitions, and are included to convey a general sense of the technology.

- Collaboration with FDA/EC

# Call for Action

- Development may rapidly advance
  - If guidance documents covering usage and learning methods can be formulated and success stories emerge.
- Regulations may also be necessary
  - There are also issues regarding how to respond when AI learning is misused.
  - AI learning can also result in degradation intentionally.
- Useful to share the same concerns to come together and work toward a common measures as a best practices
  - Regulators face common challenges
  - Discussion is ongoing in the international harmonization of medical device regulations.



Thank you very much!!

**PMDA**

Please visit the PMDA website  
<https://www.pmda.go.jp/english/index.>

